

**GEMINI FUND SERVICES, LLC
4020 SOUTH 147TH ST SUITE 2
OMAHA NE 68137**

Mutual Fund Transfer Request Form

To:

Name of Mutual Fund Company

Shareholder Account Registration Name(s)

Street Address

City

State

Zip Code

Fund Account Number _____

I/We wish to Transfer:

- All of the shares
 _____ (# of shares or \$ amount)

From the above referenced account into an account that will be registered as follows: _____

Account Number _____ (complete if the account is already established)

OR

A New Account Application must be attached to this form if the account has not already been established.

I/We understand and acknowledge:

1. The above named owner(s) authorize the Transfer.
2. To the extent there is a gain over the cost basis, redemption from the above account will be a taxable event.
Send Transfer Form and Account Application to:
Mutual Fund Family
C/o Gemini Fund Services LLC
4020 S. 147th St, Ste 2
Omaha, NE 68137

Owner's Signature

Joint Owner's Signature

Joint Owner's Signature

Signature Guarantee Stamp

Name of Bank or Broker/Dealer

Date

In order to process your requested transaction a signature guarantee is required. A green medallion signature guarantee stamp must be present on the letter of instruction. The medallion signature guarantee stamp provides proof of identity and must be issued by a member of the medallion program. It must also contain the letter prefix (A, B, C, D, E, F, X, Y or Z) that identifies the grantor's maximum surety amount, and that bond must cover the amount of the requested transaction. Banks, Savings Associations, Brokers, Dealers, or Credit Unions that are members of the medallion program can provide the needed guarantee. Please note that a Notary Public stamp is not acceptable.